# Complete Summary

#### TITLE

Esophageal resection: volume.

# SOURCE(S)

AHRQ quality indicators. Guide to inpatient quality indicators: quality of care in hospitals -- volume, mortality, and utilization [revision 3]. Rockville (MD): Agency for Healthcare Research and Quality (AHRQ); 2004 Jul 21. 172 p. (AHRQ Pub; no. 02-R0204).

#### Brief Abstract

#### **DESCRIPTION**

This measure assesses the raw volume of provider-level esophageal resection (surgical procedure).

As a volume indicator, esophageal resection is a proxy measure for quality and should be used with other indicators.

#### **RATIONALE**

Esophageal cancer surgery is a rare procedure that requires technical proficiency; and errors in surgical technique or management may lead to clinically significant complications, such as sepsis, pneumonia, anastomotic breakdown, and death. Higher volumes have been associated with better outcomes (e.g., post-operative mortality), which represent better quality.

## PRIMARY CLINICAL COMPONENT

Esophageal resection; procedure volume

#### DENOMINATOR DESCRIPTION

This measure applies to providers of esophageal resection (one provider at a time).

#### NUMERATOR DESCRIPTION

Discharges with International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) codes\* of 4240 through 4242 in any procedure field and a diagnosis code of esophageal cancer in any field. Exclude Major Diagnostic

Category (MDC) 14 (pregnancy, childbirth, and puerperium), and MDC 15 (newborns and other neonates).

\*Refer to Appendix A of the original measure documentation for details.

# **Evidence Supporting the Measure**

PRIMARY MEASURE DOMAIN

Structure

SECONDARY MEASURE DOMAIN

Outcome

EVIDENCE SUPPORTING THE MEASURE

One or more research studies published in a National Library of Medicine (NLM) indexed, peer-reviewed journal

# Evidence Supporting Need for the Measure

NEED FOR THE MEASURE

Wide variation in capacity

EVIDENCE SUPPORTING NEED FOR THE MEASURE

AHRQ quality indicators. Guide to inpatient quality indicators: quality of care in hospitals -- volume, mortality, and utilization [revision 3]. Rockville (MD): Agency for Healthcare Research and Quality (AHRQ); 2004 Jul 21. 172 p. (AHRQ Pub; no. 02-R0204).

#### State of Use of the Measure

STATE OF USE

Current routine use

**CURRENT USE** 

External oversight/State government program Internal quality improvement Quality of care research

#### Application of Measure in its Current Use

CARE SETTING

Hospitals

PROFESSIONALS RESPONSIBLE FOR HEALTH CARE

Physicians

LOWEST LEVEL OF HEALTH CARE DELIVERY ADDRESSED

Single Health Care Delivery Organizations

TARGET POPULATION AGE

Does not apply to structure measures

TARGET POPULATION GENDER

Does not apply to structure measures

STRATIFICATION BY VULNERABLE POPULATIONS

Does not apply to structure measures

# Characteristics of the Primary Clinical Component

INCIDENCE/PREVALENCE

Unspecified

ASSOCIATION WITH VULNERABLE POPULATIONS

Unspecified

**BURDEN OF ILLNESS** 

Unspecified

**UTILIZATION** 

Unspecified

COSTS

Unspecified

Institute of Medicine National Healthcare Quality Report Categories

**IOM CARE NEED** 

Getting Better

IOM DOMAIN

Effectiveness

#### Data Collection for the Measure

#### CASE FINDING

Does not apply to structure measures

DENOMINATOR SAMPLING FRAME

Does not apply to structure measures

DENOMINATOR (INDEX) EVENT

Does not apply to structure measures

#### DENOMINATOR INCLUSIONS/EXCLUSIONS

Inclusions

This measure applies to providers of esophageal resection (one provider at a time).

Exclusions Unspecified

## NUMERATOR INCLUSIONS/EXCLUSIONS

#### Inclusions

Discharges with International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) codes\* of 4240 through 4242 in any procedure field and a diagnosis code of esophageal cancer in any field.

#### Exclusions

Exclude Major Diagnostic Category (MDC) 14 (pregnancy, childbirth, and puerperium), and MDC 15 (newborns and other neonates).

#### DENOMINATOR TIME WINDOW

Does not apply to structure measures

#### NUMERATOR TIME WINDOW

Fixed time period

<sup>\*</sup>Refer to Appendix A of the original measure documentation for details.

#### **DATA SOURCE**

Administrative data

# LEVEL OF DETERMINATION OF QUALITY

Does not apply to structure measures

**OUTCOME TYPE** 

Proxy for Outcome

#### PRE-EXISTING INSTRUMENT USED

Unspecified

# Computation of the Measure

#### **SCORING**

Count

#### INTERPRETATION OF SCORE

Better quality is associated with a higher score

# ALLOWANCE FOR PATIENT FACTORS

Does not apply to structure measures

# STANDARD OF COMPARISON

External comparison at a point in time External comparison of time trends Internal time comparison Prescriptive standard

#### PRESCRIPTIVE STANDARD

# Benchmark:

- Threshold 1: 6 or more procedures per year
- Threshold 2: 7 or more procedures per year

# EVIDENCE FOR PRESCRIPTIVE STANDARD

Dudley RA, Johansen KL, Brand R, Rennie DJ, Milstein A. Selective referral to high-volume hospitals: estimating potentially avoidable deaths. JAMA2000 Mar 1;283(9):1159-66.

Patti MG, Corvera CU, Glasgow RE, Way LW. A hospital's annual rate of esophagectomy influences the operative mortality rate. J Gastrointest Surg1998 Mar-Apr; 2(2):186-92.

# **Evaluation of Measure Properties**

#### EXTENT OF MEASURE TESTING

Each potential quality indicator was evaluated against the following six criteria, which were considered essential for determining the reliability and validity of a quality indicator: face validity, precision, minimum bias, construct validity, fosters real quality improvement, and application. The project team searched Medline for articles relating to each of these six areas of evaluation. Additionally, extensive empirical testing of all potential indicators was conducted using the 1995-97 Healthcare Cost and Utilization Project (HCUP) State Inpatient Databases (SID) and Nationwide Inpatient Sample (NIS) to determine precision, bias, and construct validity. Table 2 in the original measure documentation summarizes the results of the literature review and empirical evaluations on the Inpatient Quality Indicators. Refer to the original measure documentation for details.

#### EVIDENCE FOR RELIABILITY/VALIDITY TESTING

AHRQ quality indicators. Guide to inpatient quality indicators: quality of care in hospitals -- volume, mortality, and utilization [revision 3]. Rockville (MD): Agency for Healthcare Research and Quality (AHRQ); 2004 Jul 21. 172 p.(AHRQ Pub; no. 02-R0204).

#### Identifying Information

# ORIGINAL TITLE

Esophageal resection volume (IQI 1).

# MEASURE COLLECTION

Agency for Healthcare Research and Quality (AHRQ) Quality Indicators

## MEASURE SET NAME

Agency for Healthcare Research and Quality (AHRQ) Inpatient Quality Indicators

## **DEVELOPER**

Agency for Healthcare Research and Quality

#### **ADAPTATION**

Measure was not adapted from another source.

RELEASE DATE

2002 Jun

REVISION DATE

2004 Jul

#### **MEASURE STATUS**

Please note: This measure has been updated. The National Quality Measures Clearinghouse is working to update this summary.

# SOURCE(S)

AHRQ quality indicators. Guide to inpatient quality indicators: quality of care in hospitals -- volume, mortality, and utilization [revision 3]. Rockville (MD): Agency for Healthcare Research and Quality (AHRQ); 2004 Jul 21. 172 p. (AHRQ Pub; no. 02-R0204).

#### MEASURE AVAILABILITY

The individual measure, "Esophageal Resection Volume (IQI 1)," is published in "AHRQ Quality Indicators. Guide to Inpatient Quality Indicators: Quality of Care in Hospitals -- Volume, Mortality, and Utilization." An update of this document is available in <a href="Portable Document Format (PDF">Portable Document Format (PDF)</a> and a <a href="Zipped WordPerfect(R) file">Zipped WordPerfect(R) file</a> from the Agency for Healthcare Research and Quality (AHRQ) Web site.

For more information, please contact the QI Support Team at support@qualityindicators.ahrq.gov.

#### COMPANION DOCUMENTS

The following are available:

- "AHRQ Inpatient Quality Indicators Software (Version 2.1 Revision 3)"
   (Rockville, [MD]: AHRQ, 2004 Jul 21) and its accompanying documentation
   can be downloaded from the <u>Agency for Healthcare Research and Quality</u>
   (AHRQ) Web site. (The software is available in SPSS- and SAS-compatible
   formats.)
- Guidance for using the AHRQ quality indicators for hospital-level public reporting or payment. Rockville (MD): Agency for Healthcare Research and Quality; 2004 Aug. 24 p. This document is available from the <u>AHRQ Web site</u>.
- "AHRQ Inpatient Quality Indicators Interpretative Guide" (Irving [TX]:
  Dallas-Fort Worth Hospital Council Data Initiative; 2002 Aug 1. 9 p.) is
  available. This guide helps you to understand and interpret the results derived
  from the application of the Inpatient Quality Indicators software to your own
  data and is available from the AHRQ Web site.
- "Refinement of the HCUP Quality Indicators" (Rockville [MD]: AHRQ, 2001 May. Various pagings. [Technical review; no. 4]; AHRQ Publication No. 01-0035) is available. This document was prepared by the UCSF-Stanford

Evidence-based Practice Center for AHRQ and can be downloaded from the  $\underline{\mathsf{AHRQ}\ \mathsf{Web\ site}}.$ 

# NQMC STATUS

This NQMC summary was completed by ECRI on August 19, 2004. The information was verified by the measure developer on October 13, 2004.

# COPYRIGHT STATEMENT

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